

UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

MARVIN HARRIS,

Plaintiff,

v.

D. BRUNK, HAMPSON, FIGUEROA,
JOHN DOE

Defendants.

Case No. 1:22-cv-00405-JLT-HBK (PC)

ORDER VACATING ORDER GRANTING *IN FORMA PAUPERIS* MOTION

Doc. No. 8.

FINDINGS AND RECOMMENDATIONS TO
DENY PLAINTIFF'S MOTION FOR LEAVE
TO PROCEED *IN FORMA PAUPERIS* UNDER
28 U.S.C. § 1915 (g)

Doc. No. 7

FOURTEEN-DAY OBJECTION PERIOD

This matter comes before the Court upon re-review of the file. On May 11, 2022 the Court granted Plaintiff's motion for leave to proceed *in forma pauperis*. (Doc. No. 8). After taking judicial notice of its files, the Court notes that Plaintiff has at least three dismissals that constitute a strike and finds the Complaint does not establish that Plaintiff meets the imminent danger exception. As a result, the undersigned revokes its May 11, 2022 Order and recommends the District Court deny Plaintiff's motion to proceed *in forma pauperis*.

BACKGROUND AND FACTS

Plaintiff's Complaint consists of twelve (12) handwritten pages. (Doc. No. 1 at 1-12). Noteworthy is the fact that Plaintiff attests that he has not filed any other lawsuits while he was a

1 prisoner. (*Id.* at 2). The Complaint is signed under penalty of perjury that all information in the
2 Complaint is “true and correct.” (*Id.* at 10). This is a significant requirement. “[P]erjury is
3 among the worst kinds of misconduct’ and cuts at the very heart of the mission of the federal
4 courts.” *Kennedy v. Huibregtse*, No. 13-C-004, 2015 WL 13187300, at *2 (E.D. Wis. Nov. 13,
5 2015), *aff’d*, 831 F.3d 441 (7th Cir. 2016) (quoting *Rivera v. Drake*, 767 F.3d 685, 686 (7th Cir.
6 2014)). A search of the Pacer Database, as well as the CM/ECF, demonstrate that Plaintiff has
7 filed countless lawsuits. At a minimum, Plaintiff’s misrepresentation that he has not filed any
8 other lawsuit violates Rule 11(b) of the Federal Rules of Civil Procedure. *See Fed. R. Civ. P.*
9 11(b). Consequently, the undersigned vacates its order granting Plaintiff *in forma pauperis*
10 status.

11 Although difficult to understand, to the extent discernable, the Complaint alleges the
12 following claims: (1) Defendants Brunk, Hampson, and an unnamed United States District Court
13 Judge violated Plaintiff’s First Amendment right to access the courts by not granting him *in forma*
14 *pauperis* status in violation of “California Code of Regulation Title 15 Section
15 3160(A)(b)(1)(2)(3)” and “Section 5058 Penal Code, Section 5054, Section 2601 Penal Code”
16 (Doc. No. 1 at 3); (2) Defendants Brunk Hampson, and an unnamed United States District Court
17 Judge for violated Plaintiff’s First Amendment rights by refusing to file his civil rights complaint
18 in retaliation against him for filing a lawsuit (*id.* at 4); (3) unnamed defendants violated his Fifth
19 Amendment rights for removing pictures from his cell (*id.* at 7); (4) unnamed defendants violated
20 Plaintiff’s First and Sixth Amendment rights and the American With Disabilities Act by not
21 complying with a court order mandating that Plaintiff receive a specified amount of time per
22 week to access the law library (*id.* at 8); and (5) Defendant Hampson violated Plaintiff’s right to
23 access the courts under the First, Sixth and Fourteenth Amendment by refusing to “copy petition
24 or civil rights” (*id.* at 9). The Complaint does not provide dates for when any of the events
25 giving rise to the various claims occurred.

26 As relief, Plaintiff seeks “attorney [fees] for [\$]4,000,000.00 and compensatory damages
27 [\$]2.5 million also punitive damages [\$]1.2 million and accord to proof [\$]9.9 million.” (*Id.* at
28 10).

1 **APPLICABLE THREE STRIKE LAW**

2 The “Three Strikes Rule” states:

3 In no event shall a prisoner bring a civil action or proceeding under
4 this section if the prisoner has, on 3 or more prior occasions, while
5 incarcerated or detained in any facility, brought an action or appeal
6 in the United States that was dismissed on grounds that it was
frivolous, malicious, or fails to state a claim upon which relief may
be granted, unless the prisoner is under imminent danger of serious
physical injury.

7 28 U.S.C. § 1915(g). As part of the Prison Litigation Reform Act, the Three Strikes Rule was
8 enacted to help curb non-meritorious prisoner litigation. *See Lomax v. Ortiz-Marquez*, 140 S. Ct.
9 1721, 1723 (2020) (citations omitted)). Under § 1915(g), prisoners who have repeatedly brought
10 unsuccessful suits may be barred from bringing a civil action and paying the fee on a payment
11 plan once they have had on prior occasions three or more cases dismissed as frivolous, malicious,
12 or for failure to state a claim. *Id.*; *see also Andrews v. Cervantes*, 493 F.2d 1047, 1052 (9th Cir.
13 2007).

14 For a dismissal to count as a strike, the dismissal had to be on a “prior occasion,” meaning
15 the order dismissing the case must have been docketed before plaintiff initiated the current case.
16 *See* § 1915(g). The reviewing court then looks to the basis of prior dismissals. *Knapp v. Hogan*,
17 738 F.3d 1106, 1109 (9th Cir. 2013). A dismissal counts as a strike when the dismissal of the
18 action was for frivolity, maliciousness, or for failure to state a claim, or an appeal was dismissed
19 for the same reasons. *Lomax*, 140 S. Ct. at 1723 (citing Section 1915(g); *see also Washington v.*
20 *Los Angeles Cty. Sheriff's Dep't*, 833 F.3d 1048 (9th Cir. 2016) (reviewing dismissals that count
21 as strikes); *Coleman v. Tollefson*, 135 S. Ct. 1759, 1761 (2015) (dismissal that is on appeal counts
22 as a strike during the pendency of the appeal). It is immaterial whether the dismissal for failure to
23 state a claim to count was with or without prejudice, as both count as a strike under § 1915(g).
24 *Lomax*, 140 S. Ct. at 1727. When a district court disposes of an *in forma pauperis* complaint
25 requiring the full filing fee, then such a complaint is “dismissed” for purposes of §1915(g). *Louis*
26 *Butler O'Neal v. Price*, 531 F.3d 1146, 1153 (9th Cir. 2008).

27 Once a prisoner-plaintiff has accumulated three strikes, he/she may not proceed without
28 paying the full filing fee, unless “the complaint makes a plausible allegation” that the prisoner

1 “faced ‘imminent danger of serious physical injury’ at the time of filing” of the complaint.
2 *Andrews v. Caervantes*, 493 F.3d 1047, 1051-52 (9th Cir. 2007) (addressing imminent danger
3 exception for the first time in the Ninth Circuit). The court must construe the prisoner’s “facial
4 allegations” liberally to determine whether the allegations of physical injury are plausible.
5 *Williams v. Paramo*, 775 F.3d 1182, 1190 (9th Cir. 2015).

6 In order to avail oneself of this narrow exception, “the PLRA requires a nexus between
7 the alleged imminent danger and the violations of law alleged in the prisoner’s complaint.” *Ray*
8 *v. Lara*, No. 19-17093, ___ F. 4th ___, 2022 WL 1073607, at *6 (9th Cir. Apr. 11, 2022)
9 (adopting nexus test). “In deciding whether such a nexus exists, we will consider (1) whether the
10 imminent danger of serious physical injury that a three-strikes litigant alleges is *fairly*
11 *traceable* to unlawful conduct asserted in the complaint and (2) whether a favorable judicial
12 outcome would *redress* that injury.” *Id.* at *7 (adopting test as articulated by Second Circuit,
13 citation omitted). The three-strikes litigant must meet both requirements of the nexus test to
14 proceed. *Id.*

15 Assertions of imminent danger may be rejected as overly speculative, fanciful, or
16 “conclusory or ridiculous.” *Andrews*, 493 F.3d at 1057, fn. 11. Similarly, “vague and utterly
17 conclusory assertions” of imminent danger are insufficient. *White v. Colorado*, 157 F.3d 1226,
18 1231–32 (10th Cir. 1998). Instead, the “imminent danger” exception exists “for genuine
19 emergencies,” where “time is pressing” and “a threat . . . is real and proximate.” *Lewis v.*
20 *Sullivan*, 279 F.3d 526, 531 (7th Cir. 2002). Thus, conditions that posed imminent danger to a
21 plaintiff at some earlier time are immaterial, as are any subsequent conditions. *Cervantes*, 493
22 F.3d at 1053; *Blackman v. Mjening*, 1:16-cv-01421-LJO-GSA-PC, 2016 WL 5815905, at *1
23 (E.D. Cal. Oct. 4, 2016).

24 Upon a finding that the plaintiff is barred by the three strikes provision of 28 U.S.C. §
25 1915(g), some courts have found that the proper procedure is to dismiss the case without
26 prejudice to re-filing the action upon pre-payment of fees at the time the action is refiled.
27 *Hardney v. Hampton*, No. 2:20-cv-01587-WBS-DMC-P, 2021 WL 4896034, at *4 (E.D. Cal.
28 Oct. 20, 2021), *report and recommendation adopted*, No. 2:20-cv-01587-WBS-DMC-P, 2021

1 WL 6051701 (E.D. Cal. Dec. 21, 2021) (citing *Dupree v. Palmer*, 284 F.3d 1234, 1236 (11th Cir.
 2 2002); *Campbell v. Vance*, No. CIV S-05-1163 RRB, 2005 WL 3288400, at *1 (E.D. Cal. Nov.
 3 30, 2005). Other courts have first afforded the plaintiff an opportunity to pay the filing fee
 4 before dismissing the case. *See Gorby v. Internal Revenue Service, Fresno*, Case No. 1:21-cv-
 5 320-NONE-HBK, 2021 WL 1339146, *report and recommendation adopted in part and rejected*
 6 *in part*, 2021 WL 2227810 (E.D. Cal. June 2, 2021)(rejected immediate dismissal, instead
 7 permitting 30 days to pay filing fee, and if not, then requiring dismissal); *Trujillo Cruz v. White*,
 8 No. 2:19-cv-1304-KJM-DMC, 2019 WL 4917192 (Oct. 4, 2019), *report and recommendation*
 9 *adopted in part and rejected in part*, *Trujillo-Cruz v. White*, 2020 WL 1234201 (E.D. Cal. March
 10 13, 2021)(rejected immediate dismissal, instead permitting 30 days to pay filing fee, and if not
 11 then requiring dismissal).

12 The preceding law must be taken in the context of congressional intent when enacting the
 13 Prison Litigation Reform Act. As the United States Supreme Court noted in *Lomax*, “[t]he point
 14 of the PLRA . . . was to cabin not only abusive but also simply meritless prisoner suits.” *Lomax*,
 15 140 S.Ct. at 1726. And the three strikes provision, in particular, was aimed “to disincentivize
 16 frivolous prisoner litigation.” *Hoffman v. Pulido*, 928 F.3d 1147, 1148-49 (9th Cir. 2019).

17 ANALYSIS

18 A. Plaintiff Has Three or More Qualifying Strikes

19 A review of the Pacer Database¹ reveals Plaintiff has filed at least 45 civil actions or
 20 appeals in a court of the United States. Although not exhaustive, for purposes of these findings
 21 and recommendations, each of the following cases are properly deemed qualifying § 1915(g)
 22 strikes and each was entered before Plaintiff commenced the instant action:

Date of Order	Case Style	Disposition
November 27, 2000	<i>Harris v. K. Edmonds</i> , Case No. 1:00-05857-OWW-LJO (E.D. Cal. 2000)	Order adopting findings and recommendations to dismiss amended complaint as

27 ¹ See <http://pacer.usci.uscourts.gov>.

		frivolous and for a failure to state a claim.
March 15, 2002	<i>Harris v. Cheryl Pliler</i> , Case No. 2:01-cv-01125-WBS-DAD (E.D. Cal. 2002)	Order adopting amended findings and recommendations finding first amended complaint failed to state a claim.
May 28, 2002	<i>Harris v. K. Edmonds</i> , 1:00-cv-07160-REC-SMS (E.D. Cal. 2002)	Order adopting findings and recommendations to dismiss third amended complaint for failure to state a claim and to comply with a court order.

As evidenced above, Plaintiff has had three or more qualifying strikes for purposes of § 1915(g).

B. The Imminent Danger Exception Does Not Apply

Because Plaintiff has three-qualifying strikes, he may not proceed IFP unless the Complaint contains plausible allegations that Plaintiff is in danger of serious physical injury as of the date the complaint is filed. *Andrews v. Cervantes*, 493 F.3d 1047, 1052-53 (9th Cir. 2007). Liberally construing the Complaint, the undersigned finds it contains no plausible allegations sufficient to allege Plaintiff was in imminent danger of serious physical injury at the time he filed the action to invoke the § 1915(g) exception. (See generally Doc. No. 1). The Complaint is devoid of any fact of violence, coercion, intimidation, or any other act that would indicate Plaintiff is in imminent danger of serious physical injury. (See generally *id.*).

Accordingly, it is **ORDERED**:

The Court's May 11, 2022 Order (Doc. No. 8) is VACATED.

It is further **RECOMMENDED**:

1. Plaintiff's motion to proceed *in forma pauperis* (Doc. No. 7) be DENIED under § 1915(g) due to his three-strike status and failure to meet the imminent danger exception.

2. This action be DISMISSED without prejudice automatically if Plaintiff fails to pay the filing fee after the time provided by the district court expires.

NOTICE TO PARTIES

These findings and recommendations will be submitted to the United States district judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within **fourteen (14)**

1 days after being served with these findings and recommendations, a party may file written
2 objections with the Court. The document should be captioned "Objections to Magistrate Judge's
3 Findings and Recommendations." Parties are advised that failure to file objections within the
4 specified time may result in the waiver of rights on appeal. *Wilkerson v. Wheeler*, 772 F.3d 834,
5 838-39 (9th Cir. 2014) (citing *Baxter v. Sullivan*, 923 F.2d 1391, 1394 (9th Cir. 1991)).
6

7 Dated: August 18, 2022


8 HELENA M. BARCH-KUCHTA
9 UNITED STATES MAGISTRATE JUDGE
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